# **Chapter 12**

# **Divisors and Intersection Theory**

In this chapter, k is an arbitrary field. CA= my Commutative Algebra notes.

#### a. Normal rings

THEOREM 12.1. A noetherian domain A is normal if and only if

- (a)  $A_{\mathfrak{p}}$  is a discrete valuation ring for all prime ideals  $\mathfrak{p}$  of height 1, and
- (b)  $A = \bigcap_{ht(\mathfrak{p})=1} A_{\mathfrak{p}}$  (intersection in the field of fractions of A).

We first prove some lemmas.

LEMMA 12.2. A noetherian local ring A is a discrete valuation ring if its maximal ideal is principal and dim(A) > 0.

PROOF. Let  $(\pi)$  be the maximal ideal of A. Then  $\pi$  is not nilpotent because otherwise A would have dimension zero. According to the Krull intersection theorem (1.8),  $\bigcap_{n\geq 1} \pi^n A = \{0\}$ . For any nonzero  $a \in A$ , there is a unique  $n \in \mathbb{N}$  such that  $a \in (\pi^n) \setminus (\pi^{n+1})$ , and then  $a = u\pi^n$  with  $u \in A^{\times}$ . It follows that A is an integral domain whose ideals are exactly the principal ideals  $(\pi^n)$ . In particular, A is a principal ideal domain. Up to associates,  $\pi$  is its only prime element, and so A is a discrete valuation ring (p. 88). See also 4.20.

Let *A* be an integral domain with field of fractions *F*. A *fractional ideal* of *A* is a nonzero *A*-submodule  $\mathfrak{a}$  of *F* such that  $d\mathfrak{a} \subset A$  for some nonzero  $d \in A$ . A fractional ideal  $\mathfrak{a}$  is *invertible* if  $\mathfrak{ba} = A$  for some fractional ideal  $\mathfrak{b}$ .

LEMMA 12.3. Let  $\mathfrak{a}$  be a fractional ideal of an integral domain A. If  $\mathfrak{a}$  is invertible, then, for any prime ideal  $\mathfrak{p}$  in A, the ideal  $\mathfrak{a}A_{\mathfrak{p}}$  is principal.

PROOF. If  $\mathfrak{ba} = A$ , then  $\sum b_i a_i = 1$  for some  $a_i \in \mathfrak{a}$  and  $b_i \in \mathfrak{b}$ . Let  $\mathfrak{p}$  be a prime ideal in *A*. For some *i*,  $b_i a_i$  is a unit in  $A_{\mathfrak{p}}$ , and then, for  $x \in \mathfrak{a}$ ,

$$xA_{\mathfrak{p}} = xb_i a_i A_{\mathfrak{p}} = a_i (b_i x A_{\mathfrak{p}}) \subset a_i A_{\mathfrak{p}}.$$

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LEMMA 12.4. Let A be a noetherian integral domain and  $\mathfrak{p}$  a nonzero prime ideal of A. If  $\mathfrak{p}$  is invertible, then  $A_{\mathfrak{p}}$  is a discrete valuation ring.

**PROOF.** According to 12.3,  $\mathfrak{p}A_{\mathfrak{p}}$  is principal, and so we can apply 12.2.

Let A be a noetherian ring. Recall (CA, §19) that a proper ideal q in A is primary if every zero-divisor in A/q is nilpotent, and that every ideal a in A admits a decomposition

 $\mathfrak{a} = \mathfrak{q}_1 \cap ... \cap \mathfrak{q}_r, \quad \mathfrak{q}_i \text{ primary.}$ 

Choose a minimal primary decomposition of  $\mathfrak{a}$ , and let  $\mathfrak{p}_i = \operatorname{rad}(\mathfrak{q}_i)$ . Then  $\mathfrak{p}_i$  is prime, and

$$\{\mathfrak{p}_1, \dots, \mathfrak{p}_r\} = \{ \operatorname{rad}(\mathfrak{a} \colon x) \mid x \in A, \operatorname{rad}(\mathfrak{a} \colon x) \text{ prime} \}.$$

The ideals  $\mathfrak{p}_1, \dots, \mathfrak{p}_r$  are called the *prime divisors* of  $\mathfrak{a}$ .

LEMMA 12.5. In an integrally closed noetherian domain, the prime divisors of any nonzero principal ideal in A have height 1.

PROOF. Let *a* be a nonzero element of *A*, and let  $\mathfrak{p}$  be a prime divisor of (*a*). If  $\mathfrak{p}$  is minimal, it has height 1 by the principal ideal theorem (??; CA, 21.3). In the general case, there exists a  $b \in A$  such that  $\mathfrak{p} = (aA : b)$ . Let  $\mathfrak{m} = \mathfrak{p}A_{\mathfrak{p}}$ . Then

$$\mathfrak{m} = (aA_{\mathfrak{p}}: b) = (A_{\mathfrak{p}}: ba^{-1}),$$

so  $ba^{-1} \notin A_{\mathfrak{p}}$  but  $ba^{-1}\mathfrak{m} \subset A_{\mathfrak{p}}$ . If  $ba^{-1}\mathfrak{m} \subset \mathfrak{m}$ , then  $ba^{-1}$  is integral over  $A_{\mathfrak{p}}$  (??), hence in  $A_{\mathfrak{p}}$ , which is a contradiction, and so  $ba^{-1}\mathfrak{m} = A_{\mathfrak{p}}$ . Thus,  $\mathfrak{m}$  is invertible, and so  $A_{\mathfrak{p}}$  is a discrete valuation ring (12.4). In particular, ht( $\mathfrak{p}$ ) = 1.

PROOF (OF THEOREM 12.1).  $\Rightarrow$ : (a) Let  $\mathfrak{p}$  be a prime ideal of height 1 in A. Then  $A_{\mathfrak{p}}$  is noetherian, integrally closed (1.47), and has exactly one nonzero prime ideal (1.14). It is therefore a discrete valuation ring (CA, 20.2).

(b) Let  $\frac{b}{a} \in \bigcap_{ht(\mathfrak{p})=1} A_{\mathfrak{p}}$   $(a, b \in A)$ , so that  $b \in aA_{\mathfrak{p}}$  for all  $\mathfrak{p}$  of height 1. Let  $(a) = \mathfrak{q}_1 \cap \ldots \cap \mathfrak{q}_r$  be a minimal primary decomposition of (a), and let  $\mathfrak{p}_i$  be the radical of  $\mathfrak{q}_i$ . Then  $\mathfrak{p}_i$  has height 1 by Lemma 12.5, and so  $b \in aA_{\mathfrak{p}_i}$ . But  $aA_{\mathfrak{p}_i} \cap A = \mathfrak{q}_i$ , and so  $b \in \mathfrak{q}_1 \cap \ldots \cap \mathfrak{q}_r = aA$ , as required.

⇐: Let  $a \in F(A)$  be integral over A. Then a is integral over  $A_{\mathfrak{p}}$  for all prime ideals  $\mathfrak{p}$  of height 1, and so  $a \in \bigcap A_{\mathfrak{p}} = A$ .

**PROPOSITION 12.6.** A noetherian integral domain is a unique factorization domain if and only if every prime ideal of height 1 is principal.

PROOF. For the sufficiency, see 1.25. For the necessity, it suffices by Proposition 1.26 to show that every irreducible element *a* is prime. Let  $\mathfrak{p}$  be a minimal among the prime ideals containing (*a*). According to the principal ideal theorem (3.52; CA, 21.3),  $\mathfrak{p}$  has height 1, and so is principal, say,  $\mathfrak{p} = (b)$ . Now a = bc, and, because *a* is irreducible, *c* is a unit. Therefore (*a*) = (*b*) =  $\mathfrak{p}$ , and so *a* is prime.

Let *A* be a integrally closed integral domain, and let *F* be its field of fractions. For each prime ideal  $\mathfrak{p}$ ,  $A_{\mathfrak{p}}$  is a discrete valuation ring, and we let  $\operatorname{ord}_{\mathfrak{p}}$  denote the corresponding additive valuation  $\operatorname{ord}_{\mathfrak{p}}$ :  $F \twoheadrightarrow \mathbb{Z} \sqcup \{\infty\}$ .

COROLLARY 12.7. With the above notation, there is an exact sequence

$$0 \longrightarrow A^{\times} \longrightarrow K^{\times} \longrightarrow \bigoplus_{\mathrm{ht}(\mathfrak{p})=1} \mathbb{Z}$$
$$a \longmapsto (\mathrm{ord}_{\mathfrak{p}}(a))$$

The map at right is surjective if and only if A is a unique factorization domain.

PROOF. For any nonzero element *a* of *A*, the prime ideals  $\mathfrak{p}$  such that  $\operatorname{ord}_{\mathfrak{p}}(a) \neq 0$  are the prime divisors of (*a*). Hence, the maps  $\operatorname{ord}_{\mathfrak{p}}$  do send  $F^{\times}$  into the direct sum. The first part of the statement follows from 12.1(b) and the second part from 12.6.

#### b. Divisors

Recall that a normal ring is an integral domain that is integrally closed in its field of fractions, and that a variety V is **normal** if  $\mathcal{O}_v$  is a normal ring for all  $v \in V$ . Equivalent condition: for every open connected affine subset U of V,  $\Gamma(U, \mathcal{O}_V)$  is a normal ring.

REMARK 12.8. Let V be a projective variety, say, defined by a homogeneous ring R. When R is normal, V is said to be **projectively normal**. If V is projectively normal, then it is normal, but the converse statement is false.

Assume now that *V* is normal and irreducible.

A *prime divisor* on V is an irreducible subvariety of V of codimension 1. A *divisor* on V is an element of the free abelian group Div(V) generated by the prime divisors. Thus a divisor D can be written uniquely as a finite (formal) sum

$$D = \sum n_i Z_i, \quad n_i \in \mathbb{Z}, \quad Z_i \text{ a prime divisor on } V.$$

The *support* |D| of D is the union of the  $Z_i$  corresponding to nonzero  $n_i$ . A divisor is said to be *effective* (or *positive*) if  $n_i \ge 0$  for all i. We get a partial ordering on the divisors by defining  $D \ge D'$  to mean  $D - D' \ge 0$ .

Because *V* is normal, there is associated with every prime divisor *Z* on *V* a discrete valuation ring  $\mathcal{O}_Z$ . This can be defined, for example, by choosing an open affine subvariety *U* of *V* such that  $U \cap Z \neq \emptyset$ ; then  $U \cap Z$  is a maximal proper closed subset of *U*, and so the ideal  $\mathfrak{p}$  corresponding to it is minimal among the nonzero ideals of  $R = \Gamma(U, \mathcal{O})$ ; so  $R_{\mathfrak{p}}$  is a normal ring with exactly one nonzero prime ideal  $\mathfrak{p}R$  — it is therefore a discrete valuation ring (CA 20.2), which is defined to be  $\mathcal{O}_Z$ . More intrinsically we can define  $\mathcal{O}_Z$  to be the set of rational functions on *V* that are defined an open subset *U* of *V* with  $U \cap Z \neq \emptyset$ .

Let  $\operatorname{ord}_Z$  be the valuation of  $k(V)^{\times} \twoheadrightarrow \mathbb{Z}$  with valuation ring  $\mathcal{O}_Z$ . The divisor of a nonzero element f of k(V) is defined to be

$$\operatorname{div}(f) = \sum \operatorname{ord}_Z(f) \cdot Z.$$

The sum is over all the prime divisors of *V*, but in fact  $\operatorname{ord}_Z(f) = 0$  for all but finitely many *Z*'s. In proving this, we can assume that *V* is affine (because it is a finite union of affines), say  $V = \operatorname{Spm}(R)$ . Then k(V) is the field of fractions of *R*, and so we can write f = g/h with  $g, h \in R$ , and  $\operatorname{div}(f) = \operatorname{div}(g) - \operatorname{div}(h)$ . Therefore, we can assume  $f \in R$ .

The zero set of f, V(f) either is empty or is a finite union of prime divisors,  $V = \bigcup Z_i$ (3.43) and  $\operatorname{ord}_Z(f) = 0$  unless Z is one of the  $Z_i$ .

The map

 $f \mapsto \operatorname{div}(f) \colon k(V)^{\times} \to \operatorname{Div}(V)$ 

is a homomorphism. A divisor of the form div(f) is said to be **principal**, and two divisors are said to be **linearly equivalent**, denoted  $D \sim D'$ , if they differ by a principal divisor.

When *V* is nonsingular, the *Picard group* Pic(V) of *V* is defined to be the group of divisors on *V* modulo principal divisors. (Later, we shall define Pic(V) for an arbitrary variety; when *V* is singular it will differ from the group of divisors modulo principal divisors, even when *V* is normal.)

EXAMPLE 12.9. Let *C* be a nonsingular affine curve corresponding to the affine *k*-algebra *R*. Because *C* is nonsingular, *R* is a Dedekind domain. A prime divisor on *C* can be identified with a nonzero prime divisor in *R*, a divisor on *C* with a fractional ideal, and Pic(C) with the ideal class group of *R*.

Let U be an open subset of V, and let Z be a prime divisor of V. Then  $Z \cap U$  is either empty or is a prime divisor of U. We define the **restriction** of a divisor  $D = \sum n_Z Z$  on V to U to be

$$D|_U = \sum_{Z \cap U \neq \emptyset} n_Z \cdot Z \cap U.$$

When V is nonsingular, every divisor D is **locally principal**, i.e., every point P has an open neighbourhood U such that the restriction of D to U is principal. It suffices to prove this for a prime divisor Z. If P is not in the support of D, we can take f = 1. The prime divisors passing through P are in one-to-one correspondence with the prime ideals  $\mathfrak{p}$  of height 1 in  $\mathcal{O}_P$ , i.e., the minimal nonzero prime ideals. Our assumption implies that  $\mathcal{O}_P$  is a regular local ring. It is a (fairly hard) theorem in commutative algebra that a regular local ring is a unique factorization domain. It is a (fairly easy) theorem that a noetherian integral domain is a unique factorization domain if every prime ideal of height 1 is principal (CA 21.4). Thus  $\mathfrak{p}$  is principal in  $\mathcal{O}_{\mathfrak{p}}$ , and this implies that it is principal in  $\Gamma(U, \mathcal{O}_V)$  for some open affine set U containing P.

If  $D|_U = \operatorname{div}(f)$ , then we call f a **local equation** for D on U.

### c. Intersection theory.

Fix a nonsingular variety *V* of dimension *n* over a field *k*, **assumed to be perfect**. Let  $W_1$  and  $W_2$  be irreducible closed subsets of *V*, and let *Z* be an irreducible component of  $W_1 \cap W_2$ . Then intersection theory attaches a multiplicity to *Z*. We shall only do this in an easy case.

DIVISORS.

Let *V* be a nonsingular variety of dimension *n*, and let  $D_1, ..., D_n$  be effective divisors on *V*. We say that  $D_1, ..., D_n$  **intersect properly** at  $P \in |D_1| \cap ... \cap |D_n|$  if *P* is an isolated point of the intersection. In this case, we define

$$(D_1 \cdot \dots \cdot D_n)_P = \dim_k \mathcal{O}_P / (f_1, \dots, f_n)$$

where  $f_i$  is a local equation for  $D_i$  near P. The hypothesis on P implies that this is finite.

EXAMPLE 12.10. In all the examples, the ambient variety is a surface.

(a) Let  $Z_1$  be the affine plane curve  $Y^2 - X^3$ , let  $Z_2$  be the curve  $Y = X^2$ , and let P = (0, 0). Then

$$(Z_1 \cdot Z_2)_P = \dim k[X, Y]_{(X,Y)} / (Y - X^2, Y^2 - X^3) = \dim k[X]_{(X)} / (X^4 - X^3) = 3$$

To see this, note that X - 1 is invertible in  $k[X]_{(X)}$ , and so

$$k[X]_{(X)}/(X^4 - X^3) = k[X]_{(X)}/(X^3) = k[X]/(X^3) = 3.$$

(b) If  $Z_1$  and  $Z_2$  are prime divisors, then  $(Z_1 \cdot Z_2)_P = 1$  if and only if  $f_1$ ,  $f_2$  are local uniformizing parameters at *P*. Equivalently,  $(Z_1 \cdot Z_2)_P = 1$  if and only if  $Z_1$  and  $Z_2$  are *transversal* at *P*, that is,  $T_{Z_1}(P) \cap T_{Z_2}(P) = \{0\}$ .

(c) Let  $D_1$  be the x-axis, and let  $D_2$  be the cuspidal cubic  $Y^2 - X^3$ . For P = (0,0),  $(D_1 \cdot D_2)_P = 3$ .

(d) In general,  $(Z_1 \cdot Z_2)_P$  is the "order of contact" of the curves  $Z_1$  and  $Z_2$ .

We say that  $D_1, ..., D_n$  *intersect properly* if they do so at every point of intersection of their supports; equivalently, if  $|D_1| \cap ... \cap |D_n|$  is a finite set. We then define the intersection number

$$(D_1 \cdot \ldots \cdot D_n) = \sum_{P \in |D_1| \cap \ldots \cap |D_n|} (D_1 \cdot \ldots \cdot D_n)_P.$$

EXAMPLE 12.11. Let C be a curve. If  $D = \sum n_i P_i$ , then the intersection number

$$(D) = \sum n_i [k(P_i) : k].$$

By definition, this is the degree of *D*.

Consider a regular map  $\alpha$ :  $W \to V$  of connected nonsingular varieties, and let *D* be a divisor on *V* whose support does not contain the image of *W*. There is then a unique divisor  $\alpha^*D$  on *W* with the following property: if *D* has local equation *f* on the open subset *U* of *V*, then  $\alpha^*D$  has local equation  $f \circ \alpha$  on  $\alpha^{-1}U$ . (Use AG, **??**, to see that this does define a divisor on *W*; if the image of  $\alpha$  is disjoint from |D|, then  $\alpha^*D = 0$ .)

EXAMPLE 12.12. Let *C* be a curve on a surface *V*, and let  $\alpha : C' \to C$  be the normalization of *C*. For any divisor *D* on *V*,

$$(C \cdot D) = \deg \alpha^* D.$$

LEMMA 12.13 (ADDITIVITY). Let  $D_1, ..., D_n, D$  be divisors on V. If  $(D_1 \cdot ... \cdot D_n)_P$  and  $(D_1 \cdot ... \cdot D)_P$  are both defined, then so also is  $(D_1 \cdot ... \cdot D_n + D)_P$ , and

$$(D_1 \cdot \ldots \cdot D_n + D)_P = (D_1 \cdot \ldots \cdot D_n)_P + (D_1 \cdot \ldots \cdot D)_P.$$

PROOF. One writes some exact sequences. See Shafarevich 1994, IV.1.2.

Note that in intersection theory, unlike every other branch of mathematics, we add first, and then multiply.

Since every divisor is the difference of two effective divisors, Lemma 12.8 allows us to extend the definition of  $(D_1 \cdot ... \cdot D_n)$  to all divisors intersecting properly (not just effective divisors).

LEMMA 12.14 (INVARIANCE UNDER LINEAR EQUIVALENCE). Assume V is complete. If  $D_n \sim D'_n$ , then

$$(D_1 \cdot \dots \cdot D_n) = (D_1 \cdot \dots \cdot D'_n).$$

PROOF. By additivity, it suffices to show that  $(D_1 \cdot ... \cdot D_n) = 0$  if  $D_n$  is a principal divisor. For n = 1, this is just the statement that a function has as many poles as zeros (counted with multiplicities). Suppose n = 2. By additivity, we may assume that  $D_1$  is a curve, and then the assertion follows from Example 12.12 because

D principal 
$$\Rightarrow \alpha^* D$$
 principal.

The general case may be reduced to this last case (with some difficulty). See Shafare-vich 1994, IV.1.3.  $\hfill \Box$ 

LEMMA 12.15. For any *n* divisors  $D_1, ..., D_n$  on an *n*-dimensional variety, there exists *n* divisors  $D'_1, ..., D'_n$  intersect properly.

PROOF. See Shafarevich 1994, IV.1.4.

We can use the last two lemmas to define  $(D_1 \cdot ... \cdot D_n)$  for any divisors on a complete nonsingular variety *V*: choose  $D'_1, ..., D'_n$  as in the lemma, and set

$$(D_1 \cdot \dots \cdot D_n) = (D'_1 \cdot \dots \cdot D'_n).$$

EXAMPLE 12.16. Let *C* be a smooth complete curve over  $\mathbb{C}$ , and let  $\alpha : C \to C$  be a regular map. Then the Lefschetz trace formula states that

$$(\Delta \cdot \Gamma_{\alpha}) = \operatorname{Tr}(\alpha | H^0(C, \mathbb{Q}) - \operatorname{Tr}(\alpha | H^1(C, \mathbb{Q}) + \operatorname{Tr}(\alpha | H^2(C, \mathbb{Q})))$$

In particular, we see that  $(\Delta \cdot \Delta) = 2 - 2g$ , which may be negative, even though  $\Delta$  is an effective divisor.

Let  $\alpha$  :  $W \to V$  be a finite map of irreducible varieties. Then k(W) is a finite extension of k(V), and the degree of this extension is called the **degree** of  $\alpha$ . If k(W) is separable over k(V) and k is algebraically closed, then there is an open subset U of V such that  $\alpha^{-1}(u)$  consists exactly  $d = \deg \alpha$  points for all  $u \in U$ . In fact,  $\alpha^{-1}(u)$  always consists of exactly deg  $\alpha$  points if one counts multiplicities. Number theorists will recognize this as the formula  $\sum e_i f_i = d$ . Here the  $f_i$  are 1 (if we take k to be algebraically closed), and  $e_i$ is the multiplicity of the *i*th point lying over the given point.

A finite map  $\alpha : W \to V$  is **flat** if every point *P* of *V* has an open neighbourhood *U* such that  $\Gamma(\alpha^{-1}U, \mathcal{O}_W)$  is a free  $\Gamma(U, \mathcal{O}_V)$ -module — it is then free of rank deg  $\alpha$ .

THEOREM 12.17. Let  $\alpha$ :  $W \to V$  be a finite map between nonsingular varieties. For any divisors  $D_1, ..., D_n$  on V intersecting properly at a point P of V,

$$\sum_{\alpha(Q)=P} (\alpha^* D_1 \cdot \ldots \cdot \alpha^* D_n) = \deg \alpha \cdot (D_1 \cdot \ldots \cdot D_n)_P.$$

PROOF. After replacing *V* by a sufficiently small open affine neighbourhood of *P*, we may assume that  $\alpha$  corresponds to a map of rings  $A \rightarrow B$  and that *B* is free of rank  $d = \deg \alpha$  as an *A*-module. Moreover, we may assume that  $D_1, \dots, D_n$  are principal with

equations  $f_1, ..., f_n$  on V, and that P is the only point in  $|D_1| \cap ... \cap |D_n|$ . Then  $\mathfrak{m}_P$  is the only ideal of A containing  $\mathfrak{a} = (f_1, ..., f_n)$ . Set  $S = A \setminus \mathfrak{m}_P$ ; then

$$S^{-1}A/S^{-1}\mathfrak{a} = S^{-1}(A/\mathfrak{a}) = A/\mathfrak{a}$$

because  $A/\mathfrak{a}$  is already local. Hence

$$(D_1 \cdot \dots \cdot D_n)_P = \dim A/(f_1, \dots, f_n).$$

Similarly,

$$(\alpha^* D_1 \cdot \ldots \cdot \alpha^* D_n)_P = \dim B/(f_1 \circ \alpha, \ldots, f_n \circ \alpha).$$

But *B* is a free *A*-module of rank *d*, and

$$A/(f_1, \dots, f_n) \otimes_A B = B/(f_1 \circ \alpha, \dots, f_n \circ \alpha).$$

Therefore, as A-modules, and hence as k-vector spaces,

$$B/(f_1 \circ \alpha, \dots, f_n \circ \alpha) \approx (A/(f_1, \dots, f_n))^d$$

which proves the formula.

EXAMPLE 12.18. Assume *k* is algebraically closed of characteristic  $p \neq 0$ . Let  $\alpha : \mathbb{A}^1 \to \mathbb{A}^1$  be the Frobenius map  $c \mapsto c^p$ . It corresponds to the map  $k[X] \to k[X], X \mapsto X^p$ , on rings. Let *D* be the divisor *c*. It has equation X - c on  $\mathbb{A}^1$ , and  $\alpha^*D$  has the equation  $X^p - c = (X - \gamma)^p$ . Thus  $\alpha^*D = p(\gamma)$ , and so

$$\deg(\alpha^*D) = p = p \cdot \deg(D).$$

THE GENERAL CASE.

Let *V* be a nonsingular connected variety. A *cycle of codimension r* on *V* is an element of the free abelian group  $C^r(V)$  generated by the prime cycles of codimension *r*.

Let  $Z_1$  and  $Z_2$  be prime cycles on any nonsingular variety V, and let W be an irreducible component of  $Z_1 \cap Z_2$ . Then

$$\dim Z_1 + \dim Z_2 \le \dim V + \dim W,$$

and we say  $Z_1$  and  $Z_2$  *intersect properly* at W if equality holds.

Define  $\mathcal{O}_{V,W}$  to be the set of rational functions on V that are defined on some open subset U of V with  $U \cap W \neq \emptyset$  — it is a local ring. Assume that  $Z_1$  and  $Z_2$  intersect properly at W, and let  $\mathfrak{p}_1$  and  $\mathfrak{p}_2$  be the ideals in  $\mathcal{O}_{V,W}$  corresponding to  $Z_1$  and  $Z_2$  (so  $\mathfrak{p}_i = (f_1, f_2, ..., f_r)$  if the  $f_j$  define  $Z_i$  in some open subset of V meeting W). The example of divisors on a surface suggests that we should set

$$(Z_1 \cdot Z_2)_W = \dim_k \mathcal{O}_{V,W} / (\mathfrak{p}_1, \mathfrak{p}_2),$$

but examples show this is not a good definition. Note that

$$\mathcal{O}_{V,W}/(\mathfrak{p}_1,\mathfrak{p}_2) = \mathcal{O}_{V,W}/\mathfrak{p}_1 \otimes_{\mathcal{O}_{V,W}} \mathcal{O}_{V,W}/\mathfrak{p}_2.$$

It turns out that we also need to consider the higher Tor terms. Set

$$\chi^{\mathcal{O}}(\mathcal{O}/\mathfrak{p}_1, \mathcal{O}/\mathfrak{p}_2) = \sum_{i=0}^{\dim V} (-1)^i \dim_k(\operatorname{Tor}_i^{\mathcal{O}}(\mathcal{O}/\mathfrak{p}_1, \mathcal{O}/\mathfrak{p}_2))$$

where  $\mathcal{O} = \mathcal{O}_{V,W}$ . It is an integer  $\geq 0$ , and = 0 if  $Z_1$  and  $Z_2$  do not intersect properly at W. When they do intersect properly, we define

$$(Z_1 \cdot Z_2)_W = mW, \quad m = \chi^{\mathcal{O}}(\mathcal{O}/\mathfrak{p}_1, \mathcal{O}/\mathfrak{p}_2).$$

When  $Z_1$  and  $Z_2$  are divisors on a surface, the higher Tor's vanish, and so this definition agrees with the previous one.

Now assume that V is projective. It is possible to define a notion of rational equivalence for cycles of codimension r: let W be an irreducible subvariety of codimension r-1, and let  $f \in k(W)^{\times}$ ; then div(f) is a cycle of codimension r on V (since W may not be normal, the definition of div(f) requires care), and we let  $C^r(V)'$  be the subgroup of  $C^r(V)$  generated by such cycles as W ranges over all irreducible subvarieties of codimension r-1 and f ranges over all elements of  $k(W)^{\times}$ . Two cycles are said to be **rationally equivalent** if they differ by an element of  $C^r(V)'$ , and the quotient of  $C^r(V)$  by  $C^r(V)'$  is called the **Chow group**  $CH^r(V)$ . A discussion similar to that in the case of a surface leads to well-defined pairings

$$CH^{r}(V) \times CH^{s}(V) \to CH^{r+s}(V).$$

In general, we know very little about the Chow groups of varieties — for example, there has been little success at finding algebraic cycles on varieties other than the obvious ones (divisors, intersections of divisors,...). However, there are many deep conjectures concerning them, due to Beilinson, Bloch, Murre, and others.

We can restate our definition of the degree of a variety in  $\mathbb{P}^n$  as follows: a closed subvariety *V* of  $\mathbb{P}^n$  of dimension *d* has degree  $(V \cdot H)$  for any linear subspace of  $\mathbb{P}^n$  of codimension *d*. (All linear subspaces of  $\mathbb{P}^n$  of codimension *r* are rationally equivalent, and so  $(V \cdot H)$  is independent of the choice of *H*.)

REMARK 12.19. (Bezout's theorem). A divisor D on  $\mathbb{P}^n$  is linearly equivalent of  $\delta H$ , where  $\delta$  is the degree of D and H is any hyperplane. Therefore

$$(D_1 \cdot \cdots \cdot D_n) = \delta_1 \cdots \delta_n$$

where  $\delta_j$  is the degree of  $D_j$ . For example, if  $C_1$  and  $C_2$  are curves in  $\mathbb{P}^2$  defined by irreducible polynomials  $F_1$  and  $F_2$  of degrees  $\delta_1$  and  $\delta_2$  respectively, then  $C_1$  and  $C_2$  intersect in  $\delta_1 \delta_2$  points (counting multiplicities).

## d. Exercises

You may assume the characteristic is zero if you wish.

**12-1.** Let  $V = V(F) \subset \mathbb{P}^n$ , where *F* is a homogeneous polynomial of degree  $\delta$  without multiple factors. Show that *V* has degree  $\delta$  according to the definition in the notes.

**12-2.** Let *C* be a curve in  $\mathbb{A}^2$  defined by an irreducible polynomial F(X, Y), and assume *C* passes through the origin. Then  $F = F_m + F_{m+1} + \cdots, m \ge 1$ , with  $F_m$  the homogeneous part of *F* of degree *m*. Let  $\sigma : W \to \mathbb{A}^2$  be the blow-up of  $\mathbb{A}^2$  at (0, 0), and let *C'* be the closure of  $\sigma^{-1}(C \setminus (0, 0))$ . Let  $Z = \sigma^{-1}(0, 0)$ . Write  $F_m = \prod_{i=1}^{s} (a_i X + b_i Y)^{r_i}$ , with the  $(a_i : b_i)$  being distinct points of  $\mathbb{P}^1$ , and show that  $C' \cap Z$  consists of exactly *s* distinct points.

**12-3.** Find the intersection number of  $D_1$ :  $Y^2 = X^r$  and  $D_2$ :  $Y^2 = X^s$ , r > s > 2, at the origin.

**12-4.** Find Pic(V) when V is the curve  $Y^2 = X^3$ .

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